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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/074,472	05/07/1998	MARK M. RICHTER	337462000600	2284
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Marilyn L. Amick			EXAMINER	
Roche Diagnostics Corporation 9115 Hague Road			CHAKRABARTI, ARUN K	
P.O. Box 50457 Indianapolis, IN 46250-0457			ART UNIT	PAPER NUMBER
• ,			1655	60
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Please find below and/or attached an Office communication concerning this application or proceeding.



Application No.

09/074,472

Office Action Summary

Richter et al

Examiner

Art Unit 1655



Arun Chakrabarti -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on Nov 20, 2001 2b) This action is non-final. 2ai 🔀 This action is FINAL. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11; 453 O.G. 213. Disposition of Claims \_\_\_\_\_is/are pending in the application. 4) X Claim(s) 30-33 4a) Of the above, claim(s) is/are withdrawn from consideration. 5) ☐ Claim(s) is/are allowed. 6) 🔀 Claim(s) 30-33 is/are rejected. 7) Claim(s) \_\_\_\_\_ is/are objected to. 8) ☐ Claims are subject to restriction and/or election requirement. **Application Papers** 9) The specification is objected to by the Examiner. 10)  $\square$  The drawing(s) filed on is/are objected to by the Examiner.  $\_$  is: a)  $\square$  approved b)  $\square$  disapproved. 11) The proposed drawing correction filed on 12) The oath or declaration is objected to by the Examiner. Priority under 35 U.S.C. § 119 13) Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). a)  $\square$  All b)  $\square$  Some \* c)  $\square$  None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \*See the attached detailed Office action for a list of the certified copies not received. 14) Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e). Attachment(s) 15) Notice of References Cited (PTO-892) 18) Interview Summary (PTO-413) Paper No(s). 16) Notice of Draftsperson's Patent Drawing Review (PTO-948) 19) Notice of Informal Patent Application (PTO-152)

17) Information Disclosure Statement(s) (PTO-1449) Paper No(s).

20) Other:

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#### **DETAILED ACTION**

## Specification

1. Claims 28-29 have been canceled without prejudice towards further prosecution and new claims 30-33 have been added.

### Claim Objections

2. Claims 30-33 are objected to because of the following informalities: The word "complimentary" is suggested to change to "complementary". Appropriate correction is required.

### Claim Rejections - 35 USC § 112

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

4. Claims 30-33 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Regarding claims 30-33, the phrase "capable of" renders the claims indefinite because it is unclear whether the limitation(s) following the phrase are part of the claimed invention.

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## Claim Rejections - 35 USC § 103

- 5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CAR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103© and potential 35 U.S.C. 102(f) or (g) prior art under 35 U.S.C. 103(a).

6. Claims 30-31 is rejected under 35 U.S.C. 103 (a) as being unpatentable over Talley et al. (U.S. Patent 6,132,955) (October 17, 2000) in view of Haugland et al. (U.S. Patent 5,798,276) (August 25, 1998).

Talley et al. teach a method for quantitative electrochemiluminescence detection of an oligonucleotide target analyte in a sample (abstract and Column 12, lines 45-49), the method comprising the steps of:

(a) preparing an assay mixture comprising: the sample, (Abstract);

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one or more assay reagents comprising a labeled complex comprising an ECL label selected from ruthenium bipyridine complexes and osmium bipyridine complexes attached to an oligonucleotide probe complementary to the analyte and capable of hybridizing therewith, the label capable of generating a detectable ECL emission, wherein the labeled complex is immobilized on a magnetic particle (Column 10, lines 38-67 and Column 5, lines 56-60 and Examples 1-3); and

a coreactant (Examples 1-3)

- b) bringing the assay mixture into contact with a working electrode (Column 3, lines 40-43 and Examples 1-3);
- c) applying a potential to the electrode, thereby enabling an ECL reaction to proceed (Example 1 and Claim 1);
- d) separating unhybridized labeled complex from hybridized complex (Column 5, lines 55-60 and Column 6, lines 4-32);
- e) measuring the ECL emission produced by the label hybridized to the analyte via the oligonucleotide probe (Examples 1-3 and Claim 1), and
- f) correlating the measured ECL emission with the amount of the analyte in the sample (Examples 1-3 and Claim 1).

Talley et al do not teach a method wherein reagent having an ECL quenching moiety; said ECL quenching moiety comprises at least one moiety selected from the group consisting of phenol and benzoquinone.

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Haugland et al. teach the method wherein reagent having an ECL quenching moiety, said ECL quenching moiety comprises at least one moiety selected from the group consisting of phenol and benzoquinone (Column 2, line 52 to column 3, line 15).

It would have been prima facie obvious to one having ordinary skill in the art at the time the invention was made to include the group of chemicals containing phenol of Haugland et al. in the method of Talley et al., since Haugland et al. state, "Dyes that are able to preferentially bind to a specific biological ingredient in a sample enable the researcher to determine the presence or quantity of that specific ingredient. In addition, specific cellular structures can be monitored with respect to their spatial and temporal distribution in diverse environments. Many applications utilize chemically reactive fluorescent dyes by chemically attaching the dye to reactive sites on a wide variety of materials such as cells, tissues, proteins, antibodies, enzymes, drugs, hormones, lipids, nucleotides, nucleic acids, or natural or synthetic polymers to make fluorescent conjugates (Column 1, lines 15-27)." An ordinary practitioner would have been motivated to combine and compare the electrochemiluminescence quenching chemicals containing deferentially substituted phenol ring of Haugland et al. into the method of Talley et al. in order to achieve the express advantages, as noted by Haugland et al., of dyes, that are able to preferentially bind to a specific biological ingredient in a sample, which enables the researcher to determine the presence or quantity of that specific ingredient and in addition, to monitor specific cellular structures with respect to their spatial and temporal distribution in diverse environments and in addition has many applications that utilize chemically reactive fluorescent dyes by chemically attaching the dye to reactive sites on a wide variety of materials such as cells, tissues, proteins, antibodies.

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enzymes, drugs, hormones, lipids, nucleotides, nucleic acids, or natural or synthetic polymers to make fluorescent conjugates

7. Claims 30-33 are rejected under 35 U.S.C. 103 (a) as being unpatentable over Talley et al. (U.S. Patent 6,132,955) (October 17, 2000) in view of Haugland et al. (U.S. Patent 5,798,276) (August 25, 1998) further in view of Stratagene Catalog (1988, Page 39).

Talley et al. in view of Haugland et al. expressly teach the method claims and assay reagents of claims 30-31 as described above in detail.

Talley et al. in view of Haugland et al. do not teach the motivation to combine all the reagents for detecting an analyte in a sample in the form of a kit.

Stratagene catalog teaches a motivation to combine reagents into kit format (page 39).

It would have been *prima facie* obvious to one having ordinary skill in the art at the time the invention was made to combine a suitable container, ECL label and ECL quenching moiety of Talley et al. in view of Haugland et al. into a kit format as discussed by Stratagene catalog since the Stratagene catalog teaches a motivation for combining reagents of use in an assay into a kit, "Each kit provides two services: 1) a variety of different reagents have been assembled and pre-mixed specifically for a defined set of experiments. Thus one need not purchase gram quantities of 10 different reagents, each of which is needed in only microgram amounts, when beginning a series of experiments. When one considers all of the unused chemicals that typically accumulate in weighing rooms, desiccators, and freezers, one quickly realizes that it is actually far more expensive for a small number of users to prepare most buffer solutions from the basic reagents. Stratagene provides only the quantities you will actually need, premixed and tested. In

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actuality, the kit format saves money and resources for everyone by dramatically reducing waste.

2) The

other service provided in a kit is quality control (page 39, column 1).

## Response to Amendment

8. In response to amendment, all previous rejections under 35 U.S.C. 103 (a) have been withdrawn. However, new objection to claims, 112 (second paragraph) rejection, and two new 103 (a) rejections have been included.

# Response to Arguments

9. Applicant's arguments with respect to claims 30-33 have been considered but are moot in view of the new ground(s) of rejection.

#### Conclusion

10. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to

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37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Arun Chakrabarti, Ph.D., whose telephone number is (703) 306-5818. The examiner can normally be reached on 7:00 AM-4:30 PM from Monday to Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Jones, can be reached on (703) 308-1152. The fax phone number for this Group is (703) 305-7401.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0196.

Arun Chakrabarti,

Patent Examiner,

November 26, 2001

W. Gary Jones
 Supervisory Patent Examiner
 Technology Center 1600